



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/422,202
Filing Date	October 19, 1999
First Named Inventor	Bennett, James
Group Art Unit	2135
Examiner Name	Song, Hosuk

Sheet 1 of 10

Attorney Docket No: 7962.006US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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EXAMINER

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Examiner Name	Song, Hosuk

Sheet 2 of 10

Attorney Docket No: 7962.006US1

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Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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US PATENT DOCUMENTS

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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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		"Application Serial No. 09/330,516 (Atty Ref 7962.002US3), Response filed 09-06-00 to Non-Final Office Action mailed 04-06-00", 10 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Advisory Action mailed 07-12-05", 2 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Final Office Action mailed 03-14-05", 9 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Final Office Action mailed 04-27-05", 14 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 01-22-03", 7 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 02-02-06", 4 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 03-08-02", 7 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 04-20-01", 10 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 10-01-03", 8 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 11-06-00", 17 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Notice of Allowance mailed 02-22-07", 7 pgs.	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Notice of Allowance mailed 12-20-99", 5 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Preliminary Amendment mailed 06-14-99", 6 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 02-06-01 to Non-Final Office Action mailed 11-06-00", 3 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 03-30-04 to Non-Final Office Action mailed 10-01-03", 14 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 05-25-05 to Supplemental Final Office Action mailed 04-27-05", 11 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 07-21-03 to Non-Final Office Action mailed 01-22-03", 5 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 09-06-02 to Non-Final Office Action mailed 03-08-02", 3 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 10-17-01 to Non-Final Office Action mailed 01-03-02", 7 pgs	
		"Application Serial No. 09/345,910 (Atty Ref 7962.003US4), Notice of Allowance mailed 09-07-99", 6 pgs	

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/422,202
Filing Date	October 19, 1999
First Named Inventor	Bennett, James
Group Art Unit	2135
Examiner Name	Song, Hosuk

Sheet 8 of 10

Attorney Docket No: 7962.006US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Application Serial No. 09/345,910 (Atty Ref 7962.003US4), Preliminary Amendment filed 08-30-99", 2 pgs	
		"Application Serial No. 09/498,192 (Atty Ref 7962.004US4), Notice of Allowance mailed 04-24-01", 4 pgs	
		"Application Serial No. 09/498,192 (Atty Ref 7962.004US4), Preliminary Amendment filed 02-03-00", 10 pgs	
		"Application Serial No. 09/500,559 (Atty Ref 7962.003US5), Non-Final Office Action mailed 09-05-01", 8 pgs	
		"Application Serial No. 09/808,722 (Atty Ref 7962.008US1), Non-Final Office Action mailed 01-29-07", 7 pgs.	
		"Application Serial No. 09/808,723 (Atty Ref 7962.007US1), Non-Final Office Action mailed 01-29-07", 7 pgs.	
		"Application Serial No. 09/837,752 (Atty. Ref. 7962.002US4) Notice of Allowance mailed 01-02-08", 6 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Amendment and Response filed 12-04-06 to Final Office Action mailed 09-25-06", 3 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Final Office Action mailed 06-25-04", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Final Office Action mailed 09-25-06", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Final Office Action mailed 11-02-05", 10 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Non-Final Office Action mailed 05-20-05", 6 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Non-Final Office Action mailed 09-24-03", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Non-Final Office Action mailed 12-18-02", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Notice of Allowance mailed 01-10-07", 4 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Notice of Allowance mailed 03-31-06", 4 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Notice of Allowance mailed 06-18-07", 5 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Preliminary Amendment filed 04-17-01", 5 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Response filed 03-23-04 to Non-Final Office Action mailed 01-16-04", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Response filed 06-17-03 to Non-Final Office Action mailed 12-18-02", 6 pgs	

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Examiner Name	Song, Hosuk

Sheet 9 of 10

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		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Response filed 08-22-05 to Non Final Office Action mailed 05-20-05", 8 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Amendment filed 05-17-02", 6 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Non-Final Office Action mailed 02-26-02", 4 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Notice of Allowance mailed 05-14-02", 4 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Notice of Allowance mailed 05-22-02", 2 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Preliminary Amendment mailed 08-27-01", 11 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Response Filed 03-19-02 to Non-Final Office Action mailed 02-26-02", 3 pgs	
		"Application Serial No. 11/559,216 (Atty Ref 7962.002US5), Notice of Allowance mailed 12-31-07", 6 pgs	
		"Application Serial No. 11/559,216 (Atty Ref 7962.002US5), Preliminary Amendment filed 07-20-07", 3 pgs	
		"Application Serial No. 11/559,216 (Atty Ref 7962.002US5), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,233 (Atty Ref 7962.003US6), Preliminary Amendment mailed 11-13-06", 5 pgs	
		"Application Serial No. 11/559,236 (Atty Ref 7962.002US6), Non-Final Office Action mailed 01-02-2008", OARN, 6 pgs	
		"Application Serial No. 11/559,236 (Atty Ref 7962.002US6), Preliminary Amendment filed 07-20-07", 4 pgs	
		"Application Serial No. 11/559,236 (Atty Ref 7962.002US6), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,246 (Atty Ref 7962.003US7), Preliminary Amendment 11-13-06", 5 pgs.	
		"Application Serial No. 11/559,251 (Atty Ref 7962.004US6), Preliminary Amendment filed 11-13-06", 5 pgs	
		"Application Serial No. 11/559,264 (Atty Ref 7962.004US7), Preliminary Amendment filed 11-13-06", 5 pgs	
		"Application Serial No. 11/559,283 (Atty Ref 7962.005US6), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,297 (Atty Ref 7962.006US3), Preliminary Amendment filed 02-20-07", 3 pgs	
		"Application Serial No. 11/559,297 (Atty Ref 7962.006US3), Preliminary Amendment filed 11-13-06", 3 pgs	

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Sheet 10 of 10

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		"Application Serial No. 11/559,299 (Atty Ref 7962.005US7), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,312 (Atty Ref 7962.006US2), Preliminary Amendment filed 02-20-07", 4 pgs	
		"Application Serial No. 11/559,312 (Atty Ref 7962.006US2), Preliminary Amendment filed 11-13-06", 3 pgs	
		"Application Serial No. 11/779,771 (Atty Ref 7962.005US8), Preliminary Amendment filed 07-18-07", 4 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Non-Final Office Action mailed 01-07-99", 10 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Notice of Allowance mailed 06-03-99", 4 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Preliminary Amendment filed 08-31-98", 5 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Response filed 04-07-99 to Non-Final Office Action mailed 01-07-99", 7 pgs	
		"Court speeds justice by putting records and court papers on-line", <u>PC Week</u> , 5, Obtained from Dialog, Accession No. 03083868, (Mar. 29, 1988), 3 p.	
		"Discovery Products Announces DiscoveryRealtime Compatibility With DiscoveryPro Software", <u>Business Wire</u> , (March 26, 1996), 1 pg.	
		"International Application Serial No. PCT/US94/03218 (Atty Ref 7962.001WO1), International Search Report mailed 09-14-94", 5 pgs	
		"Legal Tech' 88 Visitors View Automated Support Systems", <u>Government Computer News</u> , 7, (Apr. 1, 1988), 1 p.	
		"Menu Selection for Realtime Discovery in XCIC", Exhibit to Declaration of <u>Brent Sandstrom, Engate, Inc. v. Esquire Deposition Services, LLC (USDC, ND of Ill)</u> , [page from Ref. i.d. # 237734 -- no need to cite separately], (prior to October 31, 2003), 1 pg.	
		CUSACK, S. , "Reading with electronic eyes", <u>Computerworld</u> , (Oct. 1, 1990), p. 41	
		RABINER, L. , et al., <u>In: Fundamentals of Speech Recognition</u> , Prentice Hall PTR , Englewood Cliff, NJ, (1993), pages 1, 478-479	

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